



South Coast Air Quality Management District

Form 2007-4B

Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract

(Two-sided Form)

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

Tel: (909) 396-3119 www.aqmd.gov

†Rule 2007 (e)(2)(l) requires that all agreements for a forward contract be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. If the buyer and/or seller requests confidentiality, the District will keep the identity of the buyer and/or seller confidential to the extent allowed by law until the contract has been exercised as a transfer of RTCs. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a forward contract only. For a contingent right to purchase, use Form 2007-4A.

If claiming confidentiality of identity, check the appropriate box and complete the front and reverse sides of this form:

Buyer Seller Both Buyer and Seller

Name of Buyer Account I.D. #

Name of Seller Account I.D. #

If Intermediary: Intermediary Name Evolution Markets, Inc. Account I.D. # 700117

Forward Contract to: Purchase Sell Swap
Reason for this report: Initial Extension Modification
Premium paid for this contract: \$0.00
Date when this agreement was reached: 04/02/2015
Date when the RTCs are to be delivered: estimated 04/30/2015

Attach agreement for contingent right to purchase

Pollutant: NOx or SOx (Identify one pollutant only)

Is this an Infinite-Year-Block RTCs transaction? Yes [Total Value of Transaction \$ 2,157,896.00] No

(Attach a separate form if more spaces are needed)

Table with 7 columns: Cycle, From\* Compliance Year, To\* Compliance Year, Original Zone, Maximum Quantity, Price, Origin of Credits. Contains 3 rows of transaction data.

For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter "N/A" under the Price (\$/Pound) column.

In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line.

I understand that this is only a report to the AQMD of the agreement of a forward that this report does not act as or replace the RTC Transaction Registration. associated forms are required in accordance with Rule 2007 within five (5) business days. All statements are true, accurate, and complete to the best of my knowledge.

Christine Grandstaff Broker

Reported by (Print Name) Title

Evolution Markets, Inc. Company Name

Company Name

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If Intermediary: Intermediary Name Evolution Markets, Inc. Account I.D. # 700117

Forward Contract to: Purchase Sell Swap Reason for this report: Initial Extension Modification Premium paid for this contract: \$0.00 Date when this agreement was reached: 04/06/2015 Date when the RTCs are to be delivered: estimated 05/07/2015

→ Attach agreement for contingent right to purchase

Pollutant: NOx or SOx (Identify one pollutant only)

Is this an Infinite-Year-Block RTCs transaction? Yes [Total Value of Transaction \$ 2,692,908.00] No

(Attach a separate form if more spaces are needed)

Table with 7 columns: Cycle, From\* Compliance Year, To\* Compliance Year, Original Zone (Coastal or Inland), Maximum Quantity (Pounds/year), Price (\$/Pound), Origin of Credits. Contains 3 rows of transaction data.

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→ Attach agreement for contingent right to purchase

Pollutant: NOx or SOx (Identify one pollutant only)

Is this an Infinite-Year-Block RTCs transaction? Yes [Total Value of Transaction \$ 5,385,816.00] No

(Attach a separate form if more spaces are needed)

Table with 7 columns: Cycle, From\* Compliance Year, To\* Compliance Year, Original Zone (Coastal or Inland), Maximum Quantity (Pounds/year), Price (\$/Pound), Origin of Credits. Contains 3 rows of transaction data.

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Attach agreement for contingent right to purchase

Pollutant: NOx or SOx (Identify one pollutant only)

Is this an Infinite-Year-Block RTCs transaction? Yes [Total Value of Transaction \$ 1,078,948.00] No

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Table with 7 columns: Cycle, From\* Compliance Year, To\* Compliance Year, Original Zone, Maximum Quantity, Price, Origin of Credits. Contains 3 rows of transaction data.

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Christine Grandstaff Broker
Reported by (Print Name) Title
Evolution Markets, Inc.
Company Name

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