



South Coast Air Quality Management District

Form 2007-4A

Regional Clean Air Incentives Market Trading

Credits (RTCs) Report of Contingent Right to Purchase RTCs

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

Tel: (909) 396-3119 www.aqmd.gov

Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a contingent right to purchase only. For forward contracts, use Form 2007-4B.

Name of Buyer: Account I.D. #

Name of Seller: Account I.D. #

If Intermediary: Intermediary Name Clear Energy Brokerage & Consulting LLC Account I.D. # 700204

Contingent Right to: Purchase, Sell, Swap. Reason for this report: Initial, Extension, Modification. Premium paid for this contract: \$653,739.00. Date when this agreement was reached: 07/20/2021. Expiration Date of Contingent Right Agreement: 08/21/2023.

Attach agreement for contingent right to purchase

Pollutant: NOx or SOx (Identify one pollutant only)

Is this an Infinite-Year-Block RTCs transaction? Yes [Total Value of Transaction \$ N/A] No

(Attach a separate form if more spaces are needed)

Table with 7 columns: Cycle, From Compliance Year, To Compliance Year, Original Zone, Maximum Quantity, Price, Origin of Credits. Contains 6 rows of transaction data.

For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter "N/A" under the Price (\$/Pound) column.

In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line.

I understand that this is only a report to the AQMD of the agreement of a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the exercise of the contingent right. I certify that the statements are true, accurate, and complete to the best of my knowledge.

Christine Grandstaff Director Reported by (Print Name) Title

Clear Energy Brokerage & Consulting LLC Company Name

07/27/2021, San Juan Capistrano, Date and Place

SCAQMD Disclaimer: This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report.



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Name of Buyer: Account I.D. #

Name of Seller: Account I.D. #

If Intermediary:

Intermediary Name: Clear Energy Brokerage & Consulting LLC Account I.D. #: 700204

Contingent Right to: Purchase, Sell, Swap. Reason for this report: Initial, Extension, Modification. Premium paid for this contract: \$878,739.00. Date when this agreement was reached: 11/15/2021. Expiration Date of Contingent Right Agreement: 08/21/2023.

→ Attach agreement for contingent right to purchase

Pollutant: NOx or SOx (Identify one pollutant only)

Is this an Infinite-Year-Block RTCs transaction? Yes [Total Value of Transaction \$ N/A] No

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Christine Grandstaff Director. Reported by: Clear Energy Brokerage & Consulting LLC. Title: Clear Energy Brokerage & Consulting LLC. Signature: 11/22/2021, San Juan Capistrano, CA. Date and Place: 11/22/2021, San Juan Capistrano, CA.

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